# **Appendix 1 - Pending Claims**

- 1. The use of a formulation comprising the components:
- (a) a volatile chemical inducer;
- (b) a polyethoxylated  $C_{10}$ - $C_{20}$  alcohol or a trisiloxane polyethoxylate and
- (c) a diluent;

for controlling expression of a target gene in an organism having a chemically-inducible gene expression cassette comprising an inducible promoter operatively linked to the target gene wherein the inducible promoter is induced by the application to the organism of (a) above.

- 2. The use according to claim 1 wherein the organism is a plant.
- 3. (Amended) The use according to claim 1 wherein component (a) is a  $C_1$ - $C_6$  alcohol or a  $C_3$ - $C_9$  ketone.
- 4. (Amended) The use according to claim 3 wherein component (a) is ethanol or propan-2-ol.
- 5. (Amended) The use according to claim 1 wherein component (b) is a polyethoxylated oleyl, lauryl, stearyl or cetyl alcohol.
- 6. (Amended) The use according to claim 5 wherein component (b) is a polyoxyethylene-oleyl alcohol.
- 7. (Amended) The use according to claim 6 wherein component (b) is a polyoxyethylene-oleyl alcohol with a mean molar ethylene oxide content in the range of 2 to 20.
- 8. The use according to claim 7 wherein component (b) is a polyoxyethylene-(2)-oleyl alcohol, a polyoxyethylene-(10)-oleyl alcohol or a polyoxyethylene-(20)-oleyl alcohol.
- 9. (Amended) The use according to claim 1 wherein component (b) is at a concentration of about 0.5% wt/wt or less.

- 10. (Amended) The use according to claim 1 wherein component (b) is a hydrogen or a methyl end-capped trisiloxane polyethoxylate.
- 11. (Amended) The use according to claim 10, wherein component (b) is a methyl end-capped trisiloxane polyethoxylate.
- 12. (Amended) The use according to claim 11 wherein component (b) is a methyl end-capped trisiloxane polyethoxylate wherein the mean molar ethylene oxide content is between 4 and 12 per molecule.
- 13. The use according to claim 12 wherein component (b) is a methyl end-capped trisiloxane polyethoxylate wherein the mean molar ethylene oxide content is 8 per molecule.
- 14. (Amended) The use according to claim 10 wherein component (b) is at a concentration of about 0.5% wt/wt or less.
- 15. (Amended) The use according to claim 1 wherein component (a) is at a concentration between about 2% about 5% wt/wt.
- 16. (Amended) A method of controlling expression of a target gene in an organism comprising transforming the organism with a chemically-inducible plant gene expression cassette comprising an inducible promoter operatively linked to the target gene, wherein the inducible promoter is induced by the application to the organism of a formulation comprising a volatile chemical inducer; a polyethoxylated  $C_{10}$ - $C_{20}$  alcohol or a trisiloxane polyethoxylate; and a diluent.
  - 17. A method according to claim 16 wherein the organism is a plant.
- 18. (Amended) A method of controlling expression of a target gene in a plant comprising transforming the plant with a chemically-inducible plant gene expression cassette comprising a first promoter operatively linked to a regulator sequence which encodes a regulator

protein, and an inducible promoter operatively linked to the target gene, wherein the inducible promoter is activated by the regulator protein in the presence of a formulation comprising a volatile chemical inducer; a polyethoxylated  $C_{10}$ - $C_{20}$  alcohol or a trisiloxane polyethoxylate; and a diluent, the method comprising applying to the plant a formulation comprising a volatile chemical inducer; a polyethoxylated  $C_{10}$ - $C_{20}$  alcohol or a trisiloxane polyethoxylate; and a diluent, whereby application of the inducing formulation causes expression of the target gene.

- 19. (Amended) A method according to claim 16 or claim 18, wherein the inducible promoer is the *alcA* inducible promoter sequence and the regulator sequence encodes the *alcR* regulator protein.
  - 20. An agricultural formulation comprising the components:
  - (a) a volatile chemical inducer of an inducible promoter;
  - (b) a trisiloxane polyethoxylate; and
  - (c) a diluent.
- 21. A formulation according to claim 20 wherein component (b) is a hydrogen or a methyl end-capped trisiloxane polyethoxylate.
- 22. A formulation according to claim 21 wherein component (b) is a methyl endcapped trisiloxane polyethoxylate.
- 23. (Amended) A formulation according to claim 22 wherein component (b) is a methyl end-capped trisiloxane polyethoxylate wherein the mean molar ethylene oxide content is between 4 and 12 molecule.
- 24. A formulation according to claim 23 wherein component (b) is a methyl endcapped trisiloxane polyethoxylate wherein the mean molar ethylene oxide content is 8 per molecule.

- 25. (Amended) A formulation according to claim 20 wherein component (a) is at a concentration between about 2% and 5% wt/wt.
  - 26. (Amended) An agricultural formulation comprising:
  - (a) a C<sub>1</sub>-C<sub>6</sub> alcohol inducer of an inducible promoter in an amount of less than 5% wt/wt;
  - (b) a polyethoxylated  $C_{10}$ - $C_{20}$  alcohol; and
  - (c) water.
- 27. A formulation according to claim 26 wherein component (b) is a polyethoxylated oleyl, lauryl, stearyl or cetyl alcohol.
- 28. (Amended) A formulation according to claim 27 wherein component (b) is a polyoxyethylen-oleyl alcohol.
- 29. (Amended) A formulation according to claim 28, wherein component (b) is a polyoxyethylene-oleyl alcohol with a mean molar ethylene oxide content in the range of 2 to 20.
- 30. A formulation according to claim 29 wherein component (b) is a polyoxyethylene-(2)-oleyl alcohol.
- 31. A formulation according to claim 29 wherein component (b) is a polyoxyethylene-(10)-oleyl alcohol.
- 32. A formulation according to claim 29 wherein component (b) is a polyoxyethylene-(20)-oleyl alcohol.
- 33. (Amended) A formulation according to claim 26, wherein component (a) is at a concentration between about 2% to less than 5% wt/wt.
- 34. (Amended) A formulation according to claim 20 or claim 26, wherein component (b) is at a concentration of about 0.5% wt/wt or less.

- 35. (Amended) A formulation according to claim 20 or claim 26, wherein component (a) is ethanol or propan-2-ol.
  - 36. An agricultural formulation comprising
  - (a) a C<sub>3</sub>-C<sub>9</sub> ketone which is able to act as a chemical inducer of an inducible promoter;
  - (b) a polyethoxylated  $C_{10}$ - $C_{20}$  alcohol; and
  - (c) a diluent.
- 37. A formulation according to claim 36 wherein component (a) is at a concentration between about 2% and 5% wt/wt.
- 38. (Amended) A formulation according to claim 36 wherein component (b) is a polyethoxylated oleyl, lauryl, stearyl or cetyl alcohol.
- 39. A formulation according to claim 38 wherein component (b) is a polyoxyethylene-oleyl alcohol.
- 40. A formulation according to claim 39 wherein component (b) is a polyoxyethylene-oleyl alcohol with a mean molar ethylene oxide content in the range of 2 to 20.
- 41. A formulation according to claim 40 wherein component (b) is a polyxoyethylene-(2)-oleyl alcohol.
- 42. A formulation according to claim 40 wherein component (b) is a polyoxyethylene-(10)-oleyl alcohol.
- 43. A formulation according to claim 40 wherein component (b) is a polyoxyethylene-(20)-oleyl alcohol.

#### **Appendix 2 - Amendments to Claims**

- 3. (Amended) The use according to claim 1 [or claim 2] wherein component (a) is a  $C_1$ - $C_6$  alcohol or a  $C_3$ - $C_9$  ketone.
- 4. (Amended) The use according to <u>claim 3</u> [any one of claims 1 to 3] wherein component (a) is ethanol or propan-2-ol.
- 5. (Amended) The use according to <u>claim 1</u> [any one of claims 1 to 4] wherein component (b) is a polyethoxylated oleyl, lauryl, stearyl or cetyl alcohol.
- 6. (Amended) The use according to <u>claim 5</u> [any one of the preceding claims] wherein component (b) is a polyoxyethylene-oleyl alcohol.
- 7. (Amended) The use according to <u>claim 6</u> [any one of the preceding claims] wherein component (b) is a polyoxyethylene-oleyl alcohol with a mean molar ethylene oxide content in the range of 2 to 20.
- 9. (Amended) The use according to <u>claim 1</u> [any one of claims 1 to 8] wherein component (b) is at a concentration of about 0.5% wt/wt or less.
- 10. (Amended) The use according to <u>claim 1</u> [any one of claims 1 to 4] wherein component (b) is a hydrogen or a methyl end-capped trisiloxane polyethoxylate.
- 11. (Amended) The use according to claim 10, wherein [10wherein] component (b) is a methyl end-capped trisiloxane polyethoxylate.
- 12. (Amended) The use according to [claim 10 or] claim 11 wherein component (b) is a methyl end-capped trisiloxane polyethoxylate wherein the mean molar ethylene oxide content is between 4 and 12 per molecule.
- 14. (Amended) The use according to <u>claim 10</u> [any one of claims 10 to 13] wherein component (b) is at a concentration of about 0.5% wt/wt or less.

- 15. (Amended) The use according to <u>claim 1</u> [any one of claims 1 to 14] wherein component (a) is at a concentration between about 2% about 5% wt/wt.
- 16. (Amended) A method of controlling expression of a target gene in an organism comprising transforming the organism with a chemically-inducible plant gene expression cassette comprising an inducible promoter operatively linked to the target gene, wherein the inducible promoter is induced by the application to the organism of a formulation [as defined in any one of claims 1 to 15.] comprising a volatile chemical inducer; a polyethoxylated C<sub>10</sub>-C<sub>20</sub> alcohol or a trisiloxane polyethoxylate; and a diluent.
- 18. (Amended) A method of controlling expression of a target gene in a plant comprising transforming the plant with a chemically-inducible plant gene expression cassette comprising a first promoter operatively linked to a regulator sequence which encodes a regulator protein, and an inducible promoter operatively linked to the target gene, wherein the inducible promoter is [being] activated by the regulator protein in the presence of a formulation comprising a volatile chemical inducer; a polyethoxylated C<sub>10</sub>-C<sub>20</sub> alcohol or a trisiloxane polyethoxylate; and a diluent, [as defined in any one of claims 1 to 15,] the method comprising applying to the plant a formulation comprising a volatile chemical inducer; a polyethoxylated C<sub>10</sub>-C<sub>20</sub> alcohol or a trisiloxane polyethoxylate; and a diluent, [as defined in any one of claims 1 to 15,] whereby application of the inducing formulation causes expression of the target gene.
- 19. (Amended) A method according to <u>claim 16 or claim 18</u>, [any one of claims 16 to 18] wherein the inducible promoer is the *alcA* inducible promoter sequence and the regulator sequence encodes the *alcR* regulator protein.

- 23. (Amended) A formulation according to claim [21 or] 22 wherein component (b) is a methyl end-capped trisiloxane polyethoxylate wherein the mean molar ethylene oxide content is between 4 and 12 molecule.
- 25. (Amended) A formulation according to <u>claim 20</u> [any one of claims 20 to 24] wherein component (a) is at a concentration between about 2% and 5% wt/wt.
  - 26. (Amended) An agricultural formulation [,] comprising:
- (a) a  $C_1$ - $C_6$  alcohol inducer of an inducible promoter in an amount of less than [5%wt/wt] 5% wt/wt;
  - (b) a polyethoxylated  $C_{10}$ - $C_{20}$  alcohol; and
  - (c) water.
- 28. (Amended) A formulation according to [claim 26 or] claim 27 wherein component (b) is a polyoxyethylen-oleyl alcohol.
- 29. (Amended) A formulation according to <u>claim 28</u>, [any one of claims 26 to 28] wherein component (b) is a polyoxyethylene-oleyl alcohol with a mean molar ethylene oxide content in the range of 2 to 20.
- 33. (Amended) A formulation according to <u>claim 26</u>, [any one of claims 26 to 32] wherein component (a) is at a concentration between about 2% to less than 5% wt/wt.
- 34. (Amended) A formulation according to <u>claim 20 or claim 26</u>, [any one of claims 20 to 33] wherein component (b) is at a concentration of about 0.5% wt/wt or less.
- 35. (Amended) A formulation according to <u>claim 20 or claim 26</u>, [any one of claims 20 to 34] wherein component (a) is ethanol or propan-2-ol.
- 38. (Amended) A formulation according to claim 36 [or claim 37] wherein component (b) is a polyethoxylated oleyl, lauryl, stearyl or cetyl alcohol.

### **Appendix 3 - Specification**

The following paragraph has been inserted in the specification at page 1, line 2:

# **Related Applications**

This application is a § 371 of PCT/GB99/04345 filed December 22, 1999, which claims priority to GB 9902236.0 filed February 1, 1999.

# **Appendix 4 - Marked-Up Copy of Specification**

Please insert the following paragraph in the specification at page 1, line 2:

### **Related Applications**

This application is a § 371 of PCT/GB99/04345 filed December 22, 1999, which claims priority to GB 9902236.0 filed February 1, 1999.